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of a collection of information unless it displays a valid OMB control number. Under the Paperwork Reduction A; of 1995, no persons are required to respond to a collection Complete if Known FEE TRANSMITTAL 09/724,406 Application Number November 28, 2000 Filing Date for FY 2004 Francisco, Joseph First Named Inventor Effective 10/01/2003. Petent fee: are subject to ennual revision. Yu, Misook Examiner Name Applicant claims small entity status. See 37 CFR 1.27 1642 Art Unit 9632-006-999 TOTAL AMOUNT OF PAYMEN" Attorney Docket No. FEE CALCULATION (continued) METHOD OF PAYMENT 'check all that apply) 3. ADDITIONAL FEES Credit card Check Large Entity | Small Entity Deposit Account: Fee Code Fee Description (\$) Code Fee Paid 502900 Account 2051 85 Surcharge - late filing fee or oath 130 Number Surcharge - late provisional filing fee or cover sheet 2052 1052 Deposit Seattle Genetics, Inc. Name Non-English specification 130 1053 130 1053 e Director is authorized to: (check <u>#11 that apply)</u> 1812 2,520 For filing a request for ex parte reexamination 1812 2,520 Credit any overpayments Charge fee(s) indicated below 920\* Requesting publication of SIR prior to 1804 920 1804 Charge any additional fee(s) or any underpayment of fee(s) Examiner action Charge fee(s) indicated below, except for the filing fee 1805 1,8401 Requesting publication of SIR after 1805 1.840 Examiner action to the above-identified deposit account. Extension for reply within first month 110 2251 1251 FEE CALCULATION Extension for roply within second month 420 2252 1252 1. BASIC FILING FEE 1253 950 2253 475 Extension for reply within third month arge Entity Small Entity Fee Paid 1254 1,480 2254 740 Extension for reply within fourth month Fee Description Fee Foe Code (\$) Code (\$) 1,005 Extension for reply within lifth month 1255 2.010 2255 1001 770 2001 385 Utility filing fee 330 2401 165 Notice of Appeal 1002 340 2002 170 Design filing fee 165 Filing a brief in support of an appeal 1402 330 2402 Plant filing fee 1003 530 2003 265 145 Request for oral hearing 290 2403 Reissun filing fee 1004 770 2004 385 1,510 Petition to institute a public use proceeding 1451 1,510 1451 1005 160 2005 Provisional filing fee 55 Petition to revive - unavoidable 1452 2452 SUBTOT AL (1) (\$) 0 1453 1.330 2453 665 Petition to revive - unintentional 2. EXTRA CLAIM FEES FOR JTILITY AND REISSUE 1501 1.330 2501 665 Utility issue fee (or reissue) 2502 1502 480 240 Design issué féé below Total Claims -20\*\* 4 1503 640 2503 320 Plant issue fee Independent 130 Petitions to the Commissioner 1460 130 1460 Multiple Dependent 1807 50 1807 50 Processing fee under 37 CFR 1.17(q) Large Entity Small Entity 180 1806 180 Submission of Information Disclosure Stmt 1806 40 Recording each patent assignment per Fer: Description Code (\$) Code (\$) 8021 8021 40 property (times number of properties) Claims in excess of 20 1202 18 2202 385 Filing a submission after final rejection (37 CFR 1.129(a)) 1809 770 2809 Independent claims in excess of 3 1201 86 2201 43 Multiple dependent claim, if not paid 385 For each additional invention to be 2810 770 1203 290 2203 145 1810 examined (37 CFR 1.129(b)) Rejusue independent claims 1204 86 2204 43 385 Request for Continued Examination (RCE) over original patent 1801 770 2801 1802 900 Request for expedited examination 900 \*\* Reitsue claims in excess of 20 and over original patent 1802 1205 2205 18 of a design application Other tee (specify) (\$) 0 SUBTOTAL (2) \*Reduced by Basic Filing Fee Paid (\$) Q SUBTOTAL (3) "or number previously paid, if greatur: For Reissues, see above (Complete (# applicable)) SUBMITTED BY Registration No. Telephone 425 527 4122 Vitaro, Conforti 39,639 Name (Print/Type)

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Under the Paperwork Reduction And of 1995, no persons are required to respond to a collection of Information unless it displays a valid OMB control number. Complete if Known FEE TRANSMITTAL 09/724,406 Application Number November 28, 2000 Filing Date for FY 2004 First Named Inventor Francisco, Joseph Effective 10/01/2003. Patent fairs are subject to annual revision. Yu, Misook Examiner Name ✓ Applicant claims small entity status. See 37 CFR 1.27 1642 Art Unit TOTAL AMOUNT OF PAYMENT 9632-006-999 Attorney Docket No METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) 3. ADDITIONAL FEES Check Card Other Large Entity | Small Entity Deposit Account: Fee Description Code (\$) Code Deposit (\$) Fee Paid 502900 Account Number 1051 130 2051 65 Surcharge - late filing fee or cath Daposit Account 1052 50 2052 Surcharge - late provisional filing fee or Seattle Genetics, Inc. cover sheet Name 1053 130 Non-English specification 130 1053 The Director is authorized to: (check <u>all that apply)</u> 1812 2,520 For filing a request for ex parte reexamination 1812 2,520 Credit any overpayments Charge fee(s) indicated below 920\* Requesting publication of SIR prior to Examiner action 1804 920 1804 Charge any additional fee(s) or any underpayment of fee(s) Charge fee(s) indicated below, excupt for the filing fee 1805 1,840 1805 1,8401 Requesting publication of SIR after to the above-identified deposit account Examiner action 1251 110 2251 Extension for reply within first month FEE CALCUILATION 1252 420 2252 210 Extension for raply within second month 1. BASIC FILING FEE 950 2253 475 Extension for reply within third month arge Entity Small Entity Fee Paid Fee Description 1,480 2254 1254 740 Extension for reply within fourth month 1,005 Extension for reply within fifth month 2255 1255 2,010 1001 770 2001 385 Utility liling fee 1002 340 2002 170 1401 330 2401 165 Notice of Appeal Designifiling tee 2402 1402 330 165 Filling a brief in support of an appeal 1003 530 2003 265 Plant ' ling fee 2403 145 Request for oral hearing 1403 290 1004 770 2004 385 Reisa. e filing fee 1451 1,510 1451 Provis onal filling fee 1,510 Petition to institute a public use proceeding 1005 160 2005 80 1452 2452 110 55 Petition to revive - unavoidable SUBTOTAL (1) (\$) 0 1453 1,330 2453 665 Petition to revive - unintentional 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 2501 1501 1,330 665 Utility issue fee (or reissue) Ext<u>ra Claire</u>s below 1502 480 2602 240 Design issue fee Total Claims 2503 1503 640 320 Plant issue fee Independent 1460 130 Petitions to the Commissioner 1460 130 Claims Multiple Dependent 1807 50 1807 50 Processing fee under 37 CFR 1.17(q) arge Entity Small Entity 180 1806 180 Submission of Information Disclosure Strnt 1806 Fel Description Fee res Code (\$) 40 Recording each patent assignment per property (times number of properties) Code (\$) 40 8021 8021 Clair is in excess of 20 1202 18 2202 9 1809 770 2809 385 Filing a submission after final rejection (37 CFR 1.129(a)) 1201 Independent claims in excess of 3 86 2201 43 1203 Multigle dependent claim, if not paid 385 For each additional invention to be examined (37 CFR 1.129(b)) 290 2203 145 1810 770 2810 1204 86 2204 \*\* Rr. ssue independent claims over original patent 2801 1801 770 385 Request for Continued Examination (RCE) 900 Request for expedited examination 2205 \* Rt: saue claims in excess of 20 1802 900 1802 1205 18 á and over original patent of a design application Other fee (specify) SUBTOTAL. (2) \*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0 or number previously paid, if gree, er; For Reissues, see above (Complete (il applicable)) SUBMITTED BY Registration No. Telephone 425 527 4122 Name (Print/Type) Vitar3. Conforti 39.639

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Vita G. Confort Reg, No. 39,639

Name of Applicant, assignee or Regis ered Representative

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re app	ol cation of: Francisco et al.					
Serial No	D.: 09/724,406	Group Art Unit: 1642				
Filed: N	cvember 28, 2000	Examiner: Yu, Misook				
For:	RECOMBINANT ANTI- CD30 ANTIBODIES AND USES THEREOF	Attorney Docket No.: 9632- 006-999				

## **AMENDMENT UNDER 37 C.F.R. § 1.116**

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Sir:

In response to the final Office Action dated January 15, 2004, please enter the amendments below and consider the following remarks. This Amendment is necessary and was not earlier presented because it overcomes the rejections to the claims under 35 U.S.C. Sections 112, 102 and 103 made by the Examiner. Since only minor amendments to the claims are being made, it is not believed that the present Amendment will require a new search or present new issues. The present Amendment places the claims in better form for consideration on appeal. Reconsideration of the application and entry of the following amendments are respectfully requested.

It is estimated that no additional fee is necessary for filing this Amendment. In the event an additional fee is required, please charge the required fee to Seattle Genetics, Inc. Deposit Account No. 502900.

Amendments to the Claims are reflected in the listing of claims which begins on page 3 of this paper.

Remarks/Arguments begin on page 5 of this paper.